



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koki MATSUBARA et al.

Serial No. 10/070,249

Filed March 4, 2002

Confirmation No. 9717

Group 1614

Examiner Unassigned

PROCESS FOR THE PREPARATION OF
TRICYCLIC AMINO ALCOHOL DERIVATIVES

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Washington, D.C. 20231

Sir:

In compliance with Rules 1.97 and 1.98, and in fulfillment of the duty of disclosure under Rule 1.56, the accompanying documents, copies of which are attached to this statement, are made of record on the enclosed sheet.

A concise explanation of the relevance of these items is that these references were discovered during any searches they or their client had made, or that they were considered in the preparation of the application.

The attached page lists the attached documents numbers 1 through 19, and provides equivalents.

Respectfully submitted,

YOUNG & THOMPSON

By

Robert J. Patch
Attorney for Applicants
Registration No. 17,355
745 South 23rd Street
Arlington, VA 22202
Telephone: 703/521-2297

July 24, 2002

800 210 0101
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